Introductions
FY13 HMA Guidance provides requirements for:

- General program requirements
- Specific program requirements:
  - Hazard Mitigation Grant Program (HMGP)
  - Pre-Disaster Mitigation (PDM) Program
  - Flood Mitigation Assistance (FMA) Program
FY13 HMA Guidance:

- Provides streamlining and efficiencies for program delivery
- HMGP funds available for disasters declared on or after the date of publication
- Upcoming PDM and FMA application cycles
Changes in the HMA Guidance Structure
Changes in the Structure

- Project-specific guidance moved to an Addendum
- New Part II created – frontloading HMA program requirements early in the project scoping and development process
- Call-out boxes added to the main document to highlight significant changes
- Consolidating flood mitigation programs directed by the BW12 Act
## Significant Guidance Changes

<table>
<thead>
<tr>
<th>Challenges</th>
<th>Fixes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Dead Time – Application Period</td>
<td>1. Earlier Project Identification by States/Locals via Plans</td>
</tr>
<tr>
<td>2. Incomplete Applications</td>
<td>2. Streamlined Reviews</td>
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<tr>
<td>3. Exhaustive Reviews</td>
<td>3. Complete Applications</td>
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<tr>
<td>5. Communities without Plans</td>
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</table>

**Program Development and Review**

<table>
<thead>
<tr>
<th>Challenges</th>
<th>Fixes</th>
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<tbody>
<tr>
<td>2. Earlier Identification of Scope Changes</td>
<td>2. Post Award Monitoring</td>
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<tr>
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<td>3. Improve Closeout Guidance</td>
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</tbody>
</table>

**Implementation and Costs Incurred**

<table>
<thead>
<tr>
<th>Challenges</th>
<th>Fixes</th>
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<tbody>
<tr>
<td>1. Completing Projects in a Timely Manner</td>
<td>1. Potential for Phased Obligation</td>
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<tr>
<td>2. Earlier Identification of Scope Changes</td>
<td>2. Establish Timeframes for Project Management Processes &amp; Decisions</td>
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<td>3. Compartmentalizing Program Administration Steps</td>
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</table>

**In Process**

**NOTE:** Avg Pct of Funds Obligated @ 24 MO ≈ 30%
36 MO ≈ 50%
Significant HMA Guidance Changes
Minimum Criteria for Complete Applications
Minimum Criteria for Complete Applications

- Incomplete Applications and placeholder applications do not contain sufficient information for FEMA to complete an Application review.

- Eligibility and completeness (E&C) checklist contains the minimum information required for FEMA to initiate an application review.

- As a result,
  - Streamlined the review process
  - Facilitate HMA program delivery
Request For Information
Request For Information

Time frames for review actions and decisions:

- General time frame of 60 days for an application review
- The HMA Guidance includes:
  - Information on the Request For Information (RFI) process (stepwise)
  - RFI timeline and suggested actions if the requested information is not received
## Request For Information

<table>
<thead>
<tr>
<th>Request Format</th>
<th>Timeline</th>
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<tbody>
<tr>
<td>Informal – First Request</td>
<td>▪ Project Officer requests additional information</td>
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<tr>
<td></td>
<td>▪ 30 calendar days</td>
</tr>
<tr>
<td></td>
<td>▪ If information is not received then FEMA will consider the application to be incomplete and not approvable</td>
</tr>
<tr>
<td>Informal – Second Request</td>
<td>▪ Mitigation Branch Chief requests additional information</td>
</tr>
<tr>
<td></td>
<td>▪ 14 calendar days</td>
</tr>
<tr>
<td></td>
<td>▪ If information is not received then FEMA will consider the application to be incomplete and not approvable</td>
</tr>
<tr>
<td>Formal</td>
<td>▪ The Regional Administrator requests additional information and will document previous requests</td>
</tr>
<tr>
<td></td>
<td>▪ 30 calendar days</td>
</tr>
<tr>
<td>Formal</td>
<td>▪ If the Regional Administrator does not receive the requested information within 30 calendar days, he or she will determine the requested project application be ineligible for funding under HMGP</td>
</tr>
<tr>
<td></td>
<td>▪ The second formal letter is a denial</td>
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</tbody>
</table>
Industry Design and Construction Standards

- FEMA now accepts the engineering design for a project if a registered Professional Engineer certifies that the design meets the appropriate code or industry design and construction standards.

- FEMA will accept the certified engineering design in lieu of the FEMA comprehensive technical feasibility review.
Advance Assistance
Advance Assistance

- **Purpose:** Provide Applicants with resources to develop mitigation strategies and obtain data in order to prioritize, select, and develop complete HMGP applications in a timely manner
  - For HMGP, up to 25% of the estimated ceiling with a limit of $10 million will be available
  - Advance Assistance is being implemented as a pilot
Advance Assistance

Examples of how Advance Assistance can be used:

- Incorporate the National Environmental Policy Act into decision-making
- Obtain technical resources to gather data, perform a Benefit-Cost Analysis, and initiate Environmental and Historic Preservation and program data collection
- Develop complete applications with values that equal or exceed available HMGP disaster ceiling
Biggert-Waters Flood Insurance Reform Act of 2012
BW12

- BW 12 Act eliminates the RFC and SRL programs
- BW 12 Act significantly enhances the FMA program:
  - Additional funding for mitigation of SRL and repetitive loss properties
  - Mitigation reconstruction now an eligible activity under FMA
Changes in Federal cost shares under the FMA program:

- Up to 100% for SRL properties
- Up to 90% for repetitive loss properties
- Up to 75% for properties insured under the National Flood Insurance Program

Development or update of flood mitigation plans will not exceed $50,000 Federal share to any Applicant or $25,000 Federal share to any subapplicant
Other Significant Guidance Changes
Other Guidance Changes

Changes in addition to legislatively based changes are:

- POP for the Program
- HMGP Lock-In process
- Strategic Funds Management
- Extraordinary Circumstances exception
- Mitigation planning-related activities
- BCA
- New Part II—HMA Frontloading
Other Guidance Changes

POP for the Program

- Now begins with the opening of the application period and ends no later than 36 months from the close of the application period

- Length of the POP (particularly for HMGP) has received significant attention

- Requests to extend the POP beyond 12 months from the original grant POP termination date must be approved by HQ
Other Guidance Changes

HMGP Lock-in

- The 12-month lock-in is the maximum amount available.
- Final lock-in amount may be greater than or less than the previous calculations.
- FEMA will not obligate more than 75% of any estimate prior to the calculation of the final lock-in without concurrence from the RA/FCO with Disaster Recovery Management Authority and the OCFO.
Strategic Funds Management (SFM)

- Program fiscal management concept designed to provide funds as they are needed to implement eligible HMGP activities
- To be used to manage the DRF more effectively by anticipating obligations in conjunction with accurate monthly spend plan projections
- Schedule, scope and budget
Other Guidance Changes

Mitigation planning-related activities

- planning-related activities in addition to developing a new or updating an existing plan
Examples of eligible HMGP planning-related activities:

- Updates or enhancements to current FEMA-approved mitigation plan, such as:
  - Risk and vulnerability assessment
  - Mitigation strategy
  - Integrating mitigation into a comprehensive land use plan
  - Climate change and Smart Growth principles
Other Guidance Changes

Benefit cost

- Aggregation of multiple hazards and across multiple jurisdictions if part of the same project
- Substantial Damage waiver extended to all HMA programs
- Greatest Savings to the Fund extended to all HMA programs
- Pre-calculated benefits for residential safe room application now available
Part II: HMA Frontloading
Incorporating HMA Program requirements early in decision-making will:

- Help implement new elements such as streamlining, Strategic Funds Management, and Advance Assistance
- Expedite development of eligible and complete projects
- Accelerate the process for funding decisions
Part II: HMA Frontloading

Steps in Project Scoping

Community identifies a need for action (Reference Mitigation Plan)

Step 1
- Develop alternative solutions to problem

Steps 2 - 5
- Scope alternatives

Document each step for inclusion in HMA subapplication

Step 6
- Identify mitigation activity that best meets HMA program requirements

Step 7
- Develop project

Considerations
- Technical feasibility
- Cost
- Cost-effectiveness
- Environmental effects
- Community support

- Project design reduces vulnerability
- Project cost is acceptable
- Project is or appears highly likely to be cost-effective
- Project incorporates consideration of environmental and cultural resources effects
- Project includes any necessary measures to avoid or minimize adverse impacts to floodplains, wetlands, or other environmental resources
Next Steps
FY13 PDM and FMA application period:

- Opened July 19
- Closed October 18 at 3pm ET
- Please see the Funding Opportunities Announcement when posted onto grants.gov
- HMA webpage for additional information
- www.fema.gov/hazard-mitigation-assistance
## District of Columbia Federal Funding

### Prior Funding:

<table>
<thead>
<tr>
<th>HMGP/PDM</th>
<th>$1,543,892.00</th>
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### Open Disasters:

<table>
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<th>Disaster #</th>
<th>Federal Share Available</th>
<th>Application Submitted</th>
<th>Deadlines</th>
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<tbody>
<tr>
<td>4036-DC</td>
<td>$435,555.00</td>
<td>$516,065.75</td>
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<tr>
<td>4044-DC</td>
<td>$877,302.00</td>
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<td>4073-DC</td>
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<td>4096-DC</td>
<td>$440,120.00</td>
<td>$-</td>
<td>03/05/2014</td>
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<tr>
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<td>$2,190,120.00</td>
<td>$1,991,287.00</td>
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Questions?